

10/03/01
1054 U.S. PTO

10-04-01

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Please type a plus sign (+) inside this box ☐

PTO/SB/50 (02-01)

Approved for use through 01/31/2004 OMB 0651-0033

U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

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Washington, DC 20231

| | |
|--|------------------|
| Attorney Docket No. | 30545.11 |
| First Named Inventor | HAROLD O. TREECE |
| Original Patent Number | 6,196,311 |
| Original Patent Issue Date (Month/Day/Year) | MARCH 6, 2001 |
| Express Mail Label No. | EL418587208US |

APPLICATION FOR REISSUE OF:

(Check applicable box)



Utility Patent



Design Patent



Plant Patent

APPLICATION ELEMENTS (37 CFR 1.173)

- ☒ Fee Transmittal Form (PTO/SB/ 56)
(Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Specification and Claims in double column copy of patent
format (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath/Declaration (original or copy)
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
- ☐ Power of Attorney
- Original U.S. Patent currently assigned? ☒ Yes ☐ No
(If Yes, check applicable box(es))
 - ☒ Written Consent of all Assignees (PTO/SB/53)
 - ☒ 37 C.F.R. § 3.73(b) Statement
(PTO/SB/96)
- ☐ CD-ROM or CD-R in duplicate, Computer Program (Appendix)
or large table
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all of the following are necessary)
 - a. ☐ Computer Readable Form (CFR)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM (2 copies) or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Statement of status and support for all changes
to the claims. See 37 CFR 1.173 (c).
- ☐ Original U S Patent for surrender
 - ☐ Ribboned Original Patent Grant
 - ☐ Statement of Loss (PTO/SB/55)
- ☐ Foreign Priority Claim (35 U.S.C. 119)
(if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
- ☐ English Translation of Reissue Oath/Declaration
(if applicable)
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- Other: Express Mail Certificate, Copy of Original Recorded
Assignment
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18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

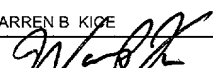
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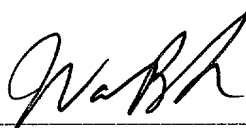
| | | | |
|----------|--|-----------|--------------|
| Name | WARREN B. KICE | | |
| Address | HAYNES AND BOONE, LLP 901 MAIN STREET, SUITE 3100 | | |
| City | DALLAS | State | TEXAS |
| Country | UNITED STATES OF AMERICA | Telephone | 214-651-5634 |
| Zip Code | 75202-3789 | Fax | 214-651-5940 |

| | | | |
|-------------------|---|-----------------------------------|----------|
| NAME (Print/Type) | WARREN B. KICE | Registration No. (Attorney/Agent) | 22,732 |
| Signature |  | Date | 10/03/01 |

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d.929621.1

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| REISSUE APPLICATION FEE TRANSMITTAL FORM | | | | | | Docket Number (Optional) | | |
|---|---|-------------------------------------|---|-----------------------------|--------------|---------------------------|---------------------------|-----------|
| | | | | | | 30545 11 | | |
| Claims as Filed - Part 1 | | | | | | | | |
| Claims in Patent | | Number Filed in Reissue Application | (3) Number Extra | Small Entity | | Other than a Small Entity | | |
| | | | | Rate | Fee | Rate | Fee | |
| (A) 51 | Total Claims (37 CFR 1.16(j)) | (B) 51 | **** 0 | = | x \$ _____ = | or | x \$ 18 _____ = 0 00 | |
| (C) 6 | Independent claims (37 CFR 1.16(i)) | (D) 6 | *0 | = | x \$ _____ = | | x \$ 84 _____ = 0 00 | |
| Basic Fee (37 CFR 1.16(h)) \$ _____ | | | | | | | | \$ 740 00 |
| Total Filing Fee \$ _____ | | | | | | | OR \$ 740 00 | |
| Claims as Amended - Part 2 | | | | | | | | |
| | (1) Claims Remaining After Amendment | | (2) Highest Number Previously Paid For | (3) Extra Claims Present | Small Entity | | Other than a Small Entity | |
| | | | | | Rate | Fee | Rate | Fee |
| Total Claims (37 CFR 1.16(j)) | *** 113 | MINUS ** 51 | * = 62 | | x \$ _____ = | | x \$ 18 _____ = 1116 00 | |
| Independent Claims (37 CFR 1.16(i)) | *** 14 | MINUS ***** 6 | = 8 | | x \$ _____ = | | x \$ 84 _____ = 672 00 | |
| Total Additional Fee \$ _____ | | | | | | | OR \$ 1788 00 | |
| <p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A), if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 08-1394. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> A check in the amount of \$ 2528 00 _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;">WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p>10/3/01 Date</p> </div> <div style="width: 45%; text-align: center;"> <p> Signature of Applicant, Attorney or Agent of Record</p> <p>WARREN B. KICE, REG. NO. 22,732 Typed or printed name</p> </div> </div> | | | | | | | | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Harold O. Treece

Serial No.: Reissue of US Patent No. 6,196,311

Filed: Herewith

For: UNIVERSAL CEMENTING PLUG

§
§ Attorney Docket No.: 30545.11
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§
§
§


Atten: Box Reissue
Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Number: EL418587208US

Date of Deposit: October 3, 2001

I hereby certify that the following papers and fee are attached: Reissue Patent Application Transmittal; Reissue Application Fee Transmittal Form; Copy of Issued Patent No. 6,196,311; Reissue Application Declaration by the Inventor; Reissue Application: Consent of Assignee; Statement of Non-Assignment; Statement Of Status/Support for All Changes to Claims Under 37 CFR 1.173(c); Information Disclosure Statement w/PTO 1449 Form; Preliminary Amendment; Copy of original recorded Assignment; check \$2528.00; and a Return Postcard are being deposited with United States Postal Service "Express Mail Post Office to addressee" to the Atten: Box Reissue, Commissioner for Patents, Washington, D. C. 20231.

 SANDRA KUBIN

Typed or Printed Name

Sandra Kubin

Signature

October 3, 2001

Date

d-929671.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Harold O. Treece

Serial No.: Reissue of
US Patent No. 6,196,311

Filed: Herewith

For: UNIVERSAL CEMENTING PLUG

§
§ Attorney Docket No.: 30545.11
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**STATEMENT OF STATUS/SUPPORT FOR ALL CHANGES TO CLAIMS
UNDER 37 CFR 1.173(c)**

Atten: Box Reissue
Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

Claims 52-113 have been added to original patent claims 1-51 in the above-identified re-issue application based upon United States Patent Number 6,196,311.

Support in the patent specification and drawings for the added Claims 52 -113 is as follows:

Claims

52-113

Support

Col. 4, line 12 through Col. 6 line 56 and
Figs. 1, 4, 5, and 6

Respectfully submitted,



Warren B. Kice
Registration No. 22,732

Dated: 10/3/01

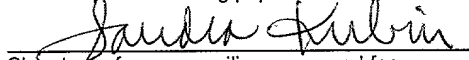
HAYNES AND BOONE, L.L.P.
901 Main Street, Suite 3100
Dallas, Texas 75202-3789
Telephone: 214/651-5634
Facsimile: 214/651-5940

Express Mail No.: EL418587208US Date of Deposit: Oct. 3, 2001

This paper and fee are being deposited with the U.S. Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and is addressed to the Box Reissue, Commissioner for Patents, Washington, D.C. 20231

PANDRA KUBIN

Name of person mailing paper and fee


Signature of person mailing paper and fee

Docket No.:

PTO/SB/96(6-98)
Approved for use through 09/30/2000. OMB 0651-0031
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: HALLIBURTON ENERGY SERVICES, INC.

Application No./Patent No.: 6,196,311 Filed/Issue Date: March 6, 2001

Entitled: UNIVERSAL CEMENTING PLUG

HALLIBURTON ENERGY SERVICES, INC., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9536, Frame 0711, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

9-21-2001
Date

Craig W. Roddy
Signature
CRAIG W. RODDY
Typed or printed name
COUNSEL
Title

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